CASE D0288 NP

AUG 0 9 2004 BY

#### **CERTIFICATE OF MAILING**

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08/05/04

Date

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

Confirmation No: 5418

KUNKEL ET AL.

**APPLICATION NO: 10/630,406** 

FILED: JULY 30, 2003

FOR: HUMANIZED ANTIBODIES AGAINST HUMAN 4-1BB

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

These references were cited in a search report in a corresponding PCT International application. Copies of these references and the search report are enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).



# Certificate under 37 C.F.R. §1.97(e)(1)

I, the undersigned attorney, hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

Respectfully submitted,

Bristol-Myers Squibb Company Patent Department P.O. Box 4000 Princeton, NJ 08543-4000 609-252-4091

Date: August 5, 2004

Paul Golian

Attorney for Applicants

Reg. No. 42,591

FORM PTO-1449 (REV. 7-85)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO. D0288 NP APPLICATION NO. 10/630,406 APPLICANT KUNKEL ET AL. FILING DATE JULY 30, 2003

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INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA	5,928,893	7/27/99	Kang et al.			
	AB	6,210,669	4/3/01	Aruffo et al.			
	AC	6,303,121	10/16/01	Kwon			
	AD	6,355,779	3/12/02	Goodwin et al.			
	AE	6,458,934	10/1/02	Hong et al.			
	AF						
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### **FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRAN	<u>SLATION</u>
		DOGGINE!!! !!GINDE!!		0.1.02		00002/100	YES	NO
	AM							
	AN							
	AO							
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•	AQ							
		OTHER DOC	UMENTS (Incli	uding Author, Title, Date, Per	tinent pages, E	itc.)		
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**EXAMINER** 

DATE CONSIDERED

<sup>\*</sup>EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.